

AIDG Notification (Important Notes to Read), 19 May 2025

HKTR Administration and Interface Development Guide (Reporting Service) version	AIDG ISO 20022 v1.0.2
Publication Date	19 May 2025
Effective Date	29 Sep 2025
System Supported Product Scope <i>For the list of asset classes supported / to be supported in HKTR, please refer to the "OTC Derivatives Trade Repository Reporting Service Reference Manual" Annex 1 published by the HKMA for more information</i>	All 5 asset classes: IR, FX, EQ, CD, CM
Documents Involved / version:	AIDG ISO 20022 v1.0.2
HKTR Administration and Interface Development Guide	
Appendix A Usage Guidelines ISO20022	
Appendix B List of Supported Data Elements and Related Validations ISO20022	
Appendix C Trade Data Model ISO20022	
HKTR AIDG ISO20022	
Important Notes and Remarks	<p>Appendix A and B Event date (in auth.108 message) becomes a system mandatory field.</p> <p>Appendix B Reporting entities are invited to take note of the following validation rules, which have been added, updated, or removed. - Added: iso-doc-vr-0140, iso-doc-vr-0141, iso-doc-vr-0142</p>
Hong Kong Trade Repository Administration and Interface Development Guide-Reporting Service (“Reporting Service AIDG”) Annex –Supplementary Reporting Instruction (SRI) for OTC Derivative Transaction	<p>This document provides a summary of the general requirements for reporting transactions to the HKTR (including clarification of important concepts) and specific instructions for reporting particular types of transactions under different circumstances. It is important that this document should be read in conjunction with the parts of the SFO relating to the mandatory reporting of OTC derivative transactions, the Reporting Rules and the HKTR reporting manuals.</p> <p>Reporting Party should always refer to the latest version published, please refer to the HKTR Info Page website.</p>

Change Log from AIDG (ISO 20022) version 1.0.1 to 1.0.2

No	Document Name	Section	Change Description This change log states the update on HKTR technical specification from AIDG v1.0 to v1.0.1. It mainly includes the following:	Change Description This change log states the update on HKTR technical specification from AIDG v1.0.1 to v1.0.2. It mainly includes the following:
1	HKTR_AIDG_ISO20022 (PDF)		N/A	In Section 4.3, removed the available character sets for Party ID - SWIFTBIC.
2	Appendix_A_Usage_Guidelines_ISO20022	auth.030.001	For the data elements "Name of the floating rate - Leg 1" & "Name of the floating rate - Leg 2", the format and allowable value is updated from varchar(50) to varchar(350)	N/A
3		auth.031.001	Added "TR Action Reference" and "TR Trade Reference"	N/A
4		auth.108.001	N/A	Event date (in auth.108 message) becomes a
5		head.001.001	N/A	N/A
6		Ref - Coding schemes	Added "XCG"	N/A
7	Appendix_B_List_of_Supported_Data_Elements_and_Related_Validations_ISO20022	Message & Validations	For the data elements "Name of the floating rate - Leg 1" & "Name of the floating rate - Leg 2", the format and allowable value is updated from varchar(50) to varchar(350)	Updated description of "Submitter Identifier" in Xpath column.
			For below data fields, the value "ODMD" is used to represent OnDemand. • Quantity frequency - Leg 1 • Quantity frequency - Leg 2	
			Removed the below redundant system Validations, Validation Rule IDs, Error codes and corresponding Error Descriptions as overlapped with the XML schema validation or other validation rules: • iso-doc-vr-0017; iso-doc-vr-0019; iso-doc-vr-0045; iso-doc-vr-0053; iso-doc-vr-0066; iso-doc-vr-0067; iso-doc-vr-0074; iso-doc-vr-0075; iso-doc-vr-0082; iso-doc-vr-0083; iso-doc-vr-0093; iso-doc-vr-0094; iso-doc-vr-0118	
			Updated the Error Descriptions: • iso-doc-vr-0003	
			Updated following validation to support for CORR and REVI action types: • iso-doc-vr-0098	
			Added below Validations, Validation Rule IDs, Error codes and corresponding Error Descriptions: • iso-doc-vr-0139	Added below Validations, Validation Rule IDs, Error codes and corresponding Error Descriptions: • iso-doc-vr-0140, iso-doc-vr-0141, iso-doc-vr-0142
8		Other Validations	Updated the Error Descriptions: • iso-flv-vr-0005	N/A

This document provides a summary of the general requirements for reporting transactions to the HKTR (including clarification of important concepts) and specific instructions for reporting particular types of transactions under different circumstances.

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Reporting Party should always refer to the latest version published in the HKTR Info Page website (<https://hktr.hkma.gov.hk>). For enquiries on the above, please write to us at hktr@hkma.gov.hk.